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**Deposited On: September 19, 2006**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No. : 10/769,686 Confirmation No.: 9134**  
**Applicant(s) : Lijun Xia and Rodger P. McEver**  
**Filed : 01/30/2004**  
**TC/A.U. : 1645**  
**Examiner : Michail A. Belyavskyi**  
**Title : FUCOSYLATED HEMATOPOIETIC STEM CELL**  
**COMPOSITIONS (AS AMENDED)**  
**Docket No. : 5920.002**  
**Customer No. : 30589**

MS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This  
Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ FORM PTO-1449 (Modified)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☒ Copies of Listed Information Items Accompanying this Statement

5. ☒ Identification of Person(s) Making this Information Disclosure Statement

### **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

### **Section 2. Form PTO-1449 (Modified)**

☒ A completed Form 1449 (Modified) is attached hereto.

☐ No Form 1449 (Modified) is attached.

### **Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449)**

### **Section 4. Copies of Listed Information Items Accompanying this Statement**

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

☒ Exceptions to the above:

☒ As this application is being filed after June 30, 2003, no copies of cited U.S. patents or patent application publications are submitted herewith.

- ☐ Regarding the foreign patents and publications and the non-patent documents listed, legible copies of such items are attached hereto.

**Section 5. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT**

The person making this statement is the attorney who signs below on the basis of the information:

- ☒ supplied by the inventor(s).
- ☐ supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- ☐ in the attorney's file.
- ☐ provided in the International Search Report cited herein.

Respectfully submitted,



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 Date Deposited: September 19, 2006



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known	
Application Number	10/769,686
Filing Date	01/30/2004
First Named Inventor	Lijun Xia et al.
Group Art Unit	1645
Examiner Name	Michail A. Belyavskiy
Attorney Docket Number	5920.002

### U. S. PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	U.S. PATENT NUMBER Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US 2003/0040607		Sackstein et al.	02/27/2003	

### FOREIGN PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office 3	Number 4	Kind Code <sup>5</sup> (if known)			

U.S. and Foreign: <sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup> Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.